



BK116 (b) US

BOX: AMENDMENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Juliana H.J. Brooks and Mark G. Mortenson

Serial No.: 10/535,454

Art Unit: 2627

Filing Date: January 30, 2006

Title: Enhanced Data Storage and Retrieval Devices and Systems and Methods
for Utilizing the Same

Certificate of Mailing Under 37 CFR §1.8(a)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Transmittal of Information Disclosure Statement (2 Pages)

Information Disclosure Citation (2 Pages)

2 Foreign Patent References (94 Pages)

International Search Report for PCT/US03/37253 (2 Pages)

International Search Report of PCT/US02/01418 (1 Page)

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April 4, 2007
Date

Kelly L. Storck
Kelly L. Storck

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
BKL: 116 (b) US

In Re Application Of: Juliana H.J. Brooks and Mark G. Mortenson

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/535,454	January 30, 2006		26818	2627	4310

Title: Enhanced Data Storage and Retrieval Devices and Systems and Methods for Utilizing Same

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
BKL: 116 (b) US

In Re Application of: Juliana H.J. Brooks and Mark G. Mortenson

APR 09 2007

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/535,454	January 30, 2006		26818	2627	4310

Title: Enhanced Data Storage and Retrieval Devices and Systems and Methods for Utilizing Same

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 503536 as described below.
- ☐ Charge the amount of _____
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April 4, 2007.

(Date)

Kelly L. Storck

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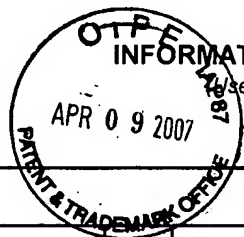

Signature

Dated: 4 APRIL 2007

Mark G. Mortenson
Reg. No. 31,182

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P.O. Box 310
North East, MD 21901

CC:



ATTY DOCKET NO. BKL: 116 (b) US	APPLICATION NO. 10/535,454
APPLICANT(S) Juliana H.J. Brooks and Mark G. Mortenson	
FILING DATE January 30, 2006	GROUP ART UNIT 2627

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 5,737,301	4/7/1998	Miyamoto, et al.	396	116	5/31/1996
	US 5,430,696	7/4/1995	Tokita, et al.	369	13	4/28/1994
	US 6,031,810	2/29/2000	Nishikawa	369	121	9/16/1997
	US 5,768,221	6/16/1998	Kasami, et al.	369	14	9/19/1996
	US 6,269,066	7/31/2001	Chase, Roland	369	126	3/6/1998

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		241215	7/26/1995	New Zealand	G01D5	12		
		1,133,706	11/13/1968	Great Britain	G03g3	00		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. BKL: 116 (b) US	APPLICATION NO. 10/535,454
	Juliana H.J. Brooks and Mark G. Mortenson	
	FILING January 30, 2006	GROUP ART 2627

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 6,744,717	6/1/2004	Ichihara, et al.	369	53.35	5/6/2003
		US 4,660,187	4/21/1987	Yoshino, et al.	369	13	8/5/1985
		US 3,566,383	2/23/1971	MA Institute of Technology	340	174.1	4/29/1968

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS	<i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>

EXAMINER	DATE CONSIDERED
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